SEC Form 4

[]

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287
Estimated average burde	en
hours per response:	0.5

2,000

2,500

138.45

D

D

D

Amount or Number

of Shares

2,000

2,500

138.45

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Eiled pursuant to Section 16(a) of the Securities Exchange Act of 1934

	. /					tion 30(h) of the I					-				
1. Name and Address of Reporting Person* <u>Watorek Jeffrey J.</u>				2. Issuer Name and Ticker or Trading Symbol GIBRALTAR INDUSTRIES, INC. [ROCK]						1 1	ck all applic Directo	cable) Ir		Dwner	
	(Last) (First) (Middle) 3556 LAKE SHORE ROAD P.O. BOX 2028					3. Date of Earliest Transaction (Month/Day/Year) 05/04/2020							(give title P, Treasu	Other below rer, Secretary	(specify)
(Street) BUFFALO NY 14219-0228 (City) (State) (Zip)				4. If Amendment, Date of Original Filed (Month/Day/Year)							lividual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person				
		Ta	able I - No	n-Deriv	vative S	ecurities Aco	uired	Dis	posed of	. or Ben	eficially	v Owned			
1. Title of Security (Instr. 3) 2. T Date				2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr.		4. Securities Acquired (A Disposed Of (D) (Instr. 3,		(A) or	5. Amount of Securities Beneficially Owned Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
							Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			(Instr. 4)
Common	Stock ⁽¹⁾			05/04/2020			A		2,000	A	\$0	6,9	920	D	
Common Stock ⁽²⁾				05/04/2020			F		838	D	\$45.85	6,0	082	D	
Discretionary RSU (May 2017) ⁽³⁾				05/04/2020			D		2,000	D	\$ <mark>0</mark>		0	D	
Common Stock (401k)												333.905		I	401k
Performance Stock Unit (March 2019)												3,	775	D	
Performance Stock Units (March 2018)											3,608		D		
Performance Stock Unit (March 2020)											2,661		D		
Restricted Stock Unit (LTIP 3/1/2019)											407		D		
Restricted Stock Units (LTIP 3/1/2018)											3	16	D		
Restricted Stock Unit (LTIP 3/2/2020)											4	44	D		
RSU (LTIP 4/3/2017)											1	27	D		
RSU (LTIP 9/6/2016)												142		D	
			Table II -			curities Acqu IIs. warrants.		-			-	Owned			
1. Title of Derivative Security (Instr. 3) 2. 3. Transaction or Exercise Price of Derivative Security (Month/Day/Year) (Month/Day Derivative		d Date,	Transaction of Ex		. Date Exercisable and xpiration Date Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5) Beneficia Owned Following Reported Transacti (Instr. 4)		e Ownersh 5 Form: Ily Direct (D or Indirect 9 (I) (Instr.	Beneficia Ownersh t (Instr. 4)			

\$8.9

\$9.74

(6)

Option

(September 2010)

Option (September 2011)

Restricted Stock Unit

(2018 MSPP

1. Represents the conversion of restricted stock units awarded as part of the Company's Long Term Incentive Plan into common stock upon vesting.

Code v (A) (D)

2. Represents common stock retained by the Company upon conversion of Reporting Person's restricted stock units into shares of common stock in satisfaction of the Reporting Person's individual minimum statutory withholding obligation.

3. Represents Discretionary Restricted Stock Units issued to Reporting Person. Discretionary Restricted Stock Units vest and are payable, solely in shares of common stock of the Company, at the end of three (3) consecutive calendar year periods beginning on May 4, 2017 ending on May 4, 2020 or, if earlier, upon death or disability. Restricted Stock Units are forfeited if employment is terminated before May 4, 2020, for reasons other than death or disability.

Date Exercisable

(4)

(4)

(6)

Expiration Date

09/13/2020⁽⁴⁾

09/13/2021⁽⁴⁾

(6)

Title

Commor

Stock

Commor

Stock

Commo

Stock

4. Twenty-five percent (25%) of the total number of options granted vest and become exercisable on each anniversary grant date.

5. Represents matching restricted stock units allocated to the Reporting Person with respect to the Reporting Person's deferral of a portion of their annual cash incentive compensation pursuant to the Company's 2018 Management Stock Purchase Plan.

6. Restricted stock units are forfeited if Reporting Person's service as an officer of the Company is terminated prior to the fifth (5th) anniversary of the Reporting Person's vesting commencement date. If service as

an officer continues beyond the fifth (5th) anniversary of the Reporting Person's vesting commencement date, restricted stock units are payable solely in cash in one lump sum payment or in five (5) or ten (10) consecutive, substantially equal annual installments, whichever distribution form is elected by the Reporting Person, beginning six (6) months following termination of service. Each restricted stock unit is converted to cash in an amount equal to the fair market value of one share of the Company's common stock, as defined in the Company's 2018 Management Stock Purchase Plan, on the date of termination of the Reporting Person's service as an officer of the Company.

/s/ Lori A. Rizzo, Attorney-in-Fact for Jeffrey J. Watorek 05/05/2020

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.